



## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)				
Tilleman et al.	) Group Art Unit: 2872		•		
Serial No. 10/003,146	) Examiner: Unassigned				
Filed: November 15, 2001	) Confirmation No. 5949				
For: "OPTICAL CONVERTER WITH A DESIGNATED OUTPUT WAVELENGTH	)))))))	APR	را م		
INFORMATION DISCLOSURE STATEMENT					
Commissioner for Patents Washington, D.C., 20231	NEEDLE & ROSENBERG, P.C. Suite 1200. The Candler Building	2002	RECEIVED		

April 17, 2002

127 Peachtree Street, N.E. Atlanta, Georgia 30303-1811

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying form PTO 1449 is a listing of documents known to the applicants and/or their attorneys. Copies of these documents are enclosed.

Consideration of the cited documents and making the same of record in the prosecution of the above-noted application are respectfully requested

ATTORNEY DOCKET NO.: 20129.0014U2

**SERIAL NO.: 10/003,146** 

No fee is believed due pursuant to 37 C.F.R. § 1.97(c)(1). However, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

Lawrence D. Maxwell

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date listed below.

Lauranaa D. Marruall

4-17-02

Date



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 7-80)
PATENT AND TRADEMARK OFFICE

APPLICANT: Tilleman et al.

SERIAL NO. 10/003,146

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LIST OF PRIOR ART CITED BY APPLICANT

FILING DATE: November 15, 2001

GROUP: 2872

(Use several sheets if necessary)			iry)	FILING DATE: November 15, 2001		4.1007.2	GROUP: 2872			
U.S. PATENT DOCUMENTS										
EXAMINER INITIALS		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	A1	6,317,529 B1	11/13/01	Kashima						
	A2	6,288,807 B1	09/11/01	Wu et al.						
	А3	20010008457A1	07/19/01	Zhang						
	A4	6,259,835 B1	07/10/01	Jing						
	A5	6,222,954 B1	04/24/01	Riza						
	A6	6,205,267 B1	03/20/01	Aksyuk et al.						
	A7	6,154,587	11/28/00	Okayama						
	A8	6,097,859	08/01/00	Solgaard et al.						
	A9	6,088,145	07/11/00	Dickensheets et al.						
	A10	6,075,239	06/13/00	Aksyuk et al.						
	A11	5,937,117	08/10/99	Ishida et al.						
	A12	5,872,880	02/16/99	Maynard						
	A13	5,715,337	02/03/98	Spitzer et al.						
	A14	5,457,556	10/10/95	Shiragaki						
	A15	5,408,556	04/18/95	Wong						
		<del></del>	F	OREIGN PATENT DOCUMENTS		1				
		<del></del>	ļ							
		OTHE	R PRIOR ART	Including Author, Title, Date, Pertinen	t Pages, Etc.)					
	A16	Bishop et al. Micr	Bishop et al. Micromirrors Relieve Communications Bottlenecks. Photonics Spectra 167-169 (2000)							
	A17	Press Release: OMM MEMS-based Optical Switches Pass Rigorous Telcordia Requirements. www.omminc.com (July 16, 2001)								



A18 Press Release: A World's First for Optical Switching: Live Data Traffic Switched by MEMS-bsaed Optical Switch Subsystems Delivered by OMM Inc. www.omminc.com (March 26, 2000) Press Release: Optical switches delivered by Optical Micro Machines Inc. (OMM) at the core of Siemens' TransxpressTM A19 optical service node. Siemens demonstrates optical switching at CeBIT 2000 using optical switching subsystems from OMM Inc. www.omminc.com (March 7, 2000) A20 Press Release: Revolutionary MEMS Optical Switch Slated to Become the Heart of Optical Communications Systems. www.omminc.com (December 9, 1999) A21 Press Release: OMM Focuses Market Position on Mid-sized MEMS Photonic Switch Modules and Subsystems. www.omminc.com (July 27, 2001) A22 website: www.omminc.com, discovered on August 8, 2001, however available maybe as early as February 2000 DATE CONSIDERED: **EXAMINER:** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.